

# HAUSER Financial & Professional Risk

## PRIVATE EQUITY IS IN OUR DNA



We strongly believe that our clients deserve and need the expertise of a team dedicated to these specialty lines of insurance for Private Equity firms and their portfolio companies!

Our team has over 30 years of experience working on complex coverages. Our experience includes underwriting and brokering management and cyber insurance for large and small private companies and brokering complex D&O for publicly traded companies for large cap (including Fortune 500) as well as small/mid-cap companies. Our goal is to maximize the coverage and reduce the risk for both the company and its financial sponsor.

Hauser's focus on private equity firms and their portfolio companies allows us to prepare and advise our clients to have a cost effective program that is "exit ready" for an IPO, sale or strategic acquisition.

### Our Areas of Expertise

- **Directors & Officers Liability:** Protects the company directors and officers (and employees for private companies) from liability arising out of their capacity as D&Os of the company.
- **Employment Practices Liability:** Protects the company and its employees for liability arising from certain acts such as discrimination, harassment and wrongful termination.
- **Fiduciary Liability:** Protects the company, plans and plan fiduciaries for liability arising from (mis)management of welfare and pension benefit plans (i.e. liabilities created by ERISA).
- **Crime Insurance:** Protects the company from financial loss of money/securities/ property such as employee theft, robbery, burglary, computer fraud and social engineering fraud.
- **Cyber Insurance:** Protects the company from expenses and liability arising from ransomware events, network breaches and the failure to protect confidential information.
- **Errors & Omissions/Professional Liability:** Protects the company from liability arising from providing services to others for a fee.



## Our Approach

As your partner in these specialty lines, we will provide:

### PROGRAM ANALYSIS

A comprehensive analysis of your current management liability, cyber and professional liability policies. Unlike other classes of insurance there are no true “industry standard” policy forms. We will ensure your program is up to current market standards.

### MARKETING

Conduct a thorough review of the market and guide you with a strategic discussion on the pros and cons of marketing your program and how best to achieve best-in-market results.

### BENCHMARK DATA

Information on limit and retentions common in your industry or size of business.

### DEVELOPING EXPOSURES AND INSURANCE

Guidance on emerging areas of risk such as cyber and intellectual property.

## Claims Expertise

Hauser has a team of dedicated claim specialists, including a former defense attorney for insurance companies. We know how to effectively communicate and manage the often complex process of submitting and negotiating claims on behalf of our clients while keeping the financial sponsor appropriately apprised of the ongoing issue.

## Our Leader

### CHARLES SZVETECZ, MANAGING DIRECTOR

With over 25 years of experience in management and professional lines coverages Charles Szvetcz has a deep understanding of these complex coverages.

His experience includes underwriting and broking complex D&O and management lines programs (in the U.S., Bermuda and Asia/Pacific) for private companies and publicly traded companies for large cap (including Fortune 500) and small/mid-cap companies including JP Morgan/Chase, Morgan Stanley, Carmike Cinemas, AFLAC, Fidelity Investments, Singapore Airlines, SunTrust Bancorp, Old Dominion Freight Lines and AmSouth (now Regions Bank).



**CHARLES SZVETECZ**  
Managing Director